

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	7044756
<b>Application Number:</b>	10767699
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5670
<b>Title of Invention:</b>	System and method for controlling the disclosure of a trading order
<b>First Named Inventor/Applicant Name:</b>	Thomas J. Daley
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	04-6174 (069547.0174)
<b>Receipt Date:</b>	19-FEB-2010
<b>Filing Date:</b>	29-JAN-2004
<b>Time Stamp:</b>	11:30:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6174_100219_IDS.pdf	43406 <small>/aee1f0b0bcb056ee8471eb0e5f51b056c5a4d5</small>	no	5

### Warnings:

### Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6174_100219_1449.pdf	54483 12d0ba7eeb3de3c1b65e0f99f93d4dea25a9f2	no	5
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This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	EP_1081614A2.pdf	1967679 816523d41f73c16d85c8baa3f0bcb09aaf0	no	38
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4	Foreign Reference	WO_01-48655A1.pdf	2741631 5351822843c312c5cc4485ba48c305cbce983e	no	70
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5	NPL Documents	PCT- US06-30636_ISR_04-30-2007. pdf	380780 71694756d4a001a01aa0ff0baa4922551a9	no	8
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6	NPL Documents	11-499496_OA_05-01-2008.pdf	351266 4bd9ae2412694b3ee638b2c7b23469b5d4b12b9	no	12
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7	NPL Documents	10-767546_OA_10-29-2008.pdf	1192022 70db26461075a0ba2c3c5665ae720c19c55804	no	35
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8	NPL Documents	10-767588_OA_10-23-2008.pdf	276704 74c54f1aac4390a8278ca3d28d47a3b074e83	no	8
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9	NPL Documents	11-499496_OA_04-27-2009.pdf	143851 701db7717b2c992a688835a108825a1cc3d98	no	3
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10	Foreign Reference	WO_02-071297A1.pdf	2484403 34e0886f94b625f8c687675daef391c5d6e0f	no	82

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11	NPL Documents	11-499496_OA_01-26-2009.pdf	507332 21b4f486c602b4c4a12291005fbbce09a093a0f	no	16
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18	NPL Documents	10-767546_OA_10-07-2009.pdf	660473 5589911a2877875ccbc0ff1e45304096a30188b7	no	19
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19	NPL Documents	10-767588_OA_10-27-2009.pdf	218987 2925762163bb4ed95c24cd94e19e1b07528da32c5d8	no	6

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22	NPL Documents	10-767649_EXIN_06-23-2009.pdf	60086 c03ac7b1d4d4992ac0801ae34f957d8a72ad813	no	2
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27	NPL Documents	AU2005208978_Examiners_Report_11-26-2009.pdf	72721 3ba1e9a176171c72368d0f5a9fbc379b50600a5	no	1
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32	NPL Documents	Regulation_of_Specialists_Business_Lawyer_11-2005.pdf	5323319 a8f6a20bb0d6d3cc28c8ef5d5c22f50b16c19e0dd	no	71
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33	NPL Documents	Hybrid_Approach_NYSE_12-09-2004.pdf	488822 0a1fa81971ab076618f69fb4ef6b703aa09587d4	no	7
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Total Files Size (in bytes):			32428124		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p>					
<p><b><u>New Applications Under 35 U.S.C. 111</u></b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p>					
<p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					
<p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					